

THIS PRINT COVERS CALENDAR ITEM NO. : 10.2

**SAN FRANCISCO
MUNICIPAL TRANSPORTATION AGENCY**

DIVISION: Finance and Information Technology

BRIEF DESCRIPTION:

Authorizing the San Francisco Municipal Transportation Agency (SFMTA), through its Director of Transportation or his designee, to submit project applications to the Metropolitan Transportation Commission for the Transit Capital Priority process to program capital funding for Fiscal Years 2012-13 to 2013-14 for the Federal formula funding programs and for Surface Transportation Program funds.

SUMMARY:

- The Metropolitan Transportation Commission (MTC) has issued a call for transit operators in the region to submit project applications to receive federal formula funds and Surface Transportation Program (STP) funds for capital projects.
- Federal formula and STP funds are primary sources of funding for the San Francisco Municipal Railway's capital program.
- In order to receive such funding, the San Francisco Municipal Transportation Agency (SFMTA) must submit capital project applications to MTC for consideration in the Federal Transit Administration (FTA) Section 5307 formula program, Section 5309 Fixed Guideway formula program, Section 5337 State of Good Repair program, Section 5339 Bus and Bus Facilities program, and STP funding.
- The MTC also requires an authorizing resolution from this Board committing the SFMTA to provide the necessary local match for the projects and providing assurances of SFMTA's capacity to complete the projects.

ENCLOSURES:

1. SFMTAB Resolution

APPROVALS:

DATE

DIRECTOR _____

2/20/13

SECRETARY _____

2/20/13

ASSIGNED SFMTAB CALENDAR DATE: March 5, 2013

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PURPOSE

Authorizing the SFMTA, through its Director of Transportation or his designee, to submit project applications to the Metropolitan Transportation Commission for the Transit Capital Priority process to program capital funding for Fiscal Years 2012-13 to 2013-14 for the federal formula funding programs and for Surface Transportation Program funds.

GOAL

This action supports the following SFMTA Strategic Plan Goal and Objectives:

- Goal 3 Improve the environment and quality of life in San Francisco
 - Objective 3.3: Allocate capital resources effectively
 - Objective 3.5: Reduce capital and operating structural deficits.

DESCRIPTION

In order to be eligible for capital funding from these federal programs, the MTC requires that the SFMTA submit a resolution from its Board of Directors authorizing the Director of Transportation to file project applications for these funds. The resolution should provide assurances that the SFMTA will have the capacity to match federal funds with non-federal funds and be able to complete the projects. The SFMTA will secure the required non-federal matching funds separately by tapping into various state, regional, and local fund sources.

In its role as the region's designated metropolitan planning organization, the MTC has issued a call for projects from eligible federal grantees. The MTC requires lists of projects from transit operators in order to program the annual regional apportionment of federal formula funds for Fiscal Years 2012-13 to 2013-14 FTA Section 5307 formula funds, Section 5309 Fixed Guideway funds, Section 5337 State of Good Repair funds, Section 5339 Bus and Bus Facilities funds, and STP funding. These funds are the primary sources of federal funding for Muni's capital program.

The established projects submitted to the MTC incorporate and are consistent with Muni's priorities as established by the SFMTA Board in the adopted Fiscal Year 2008-2027 Short Range Transit Plan and Capital Improvement Program. The projects are as follows:

- Light Rail Vehicle Rehabilitation Project
- Free Muni For Youth Program
- Buy Replacement 40-ft NABI Bus
- Buy Replacement Paratransit Vans
- 40' Neoplan Bus Replacement

- 60' Neoplan Bus Replacement
- 60' New Flyer Trolley Bus Replacement
- Muni Rail Replacement
- ITS Radio System Replacement
- Cable Car Renovation Program
- ADA Paratransit Operating Support

The MTC will program funds for Fiscal Years 2012-13 to 2013-14 using a distribution formula that was developed in cooperation with the region's transit operators as part of the regional Transit Capital Priorities (TCP) process. The SFMTA will not receive the total amount requested; rather, the SFMTA will receive a portion of the requested funds based on the TCP distribution formula.

ALTERNATIVES CONSIDERED

There are no other alternatives considered. The MTC requires that all transit operators, including the SFMTA, seek Board of Directors approval authorizing programming of Fiscal Years 2012-13 to 2013-2014 Transit Capital Priorities funding.

FUNDING IMPACT

The SFMTA has applied to the MTC for funding, and is committed to providing non-federal match for that funding, up to the amounts shown below:

Funding Source	Amount
Federal Funds	\$187,764,661
Non-Federal Matching Funds	\$41,692,256
Total	\$229,456,917

OTHER APPROVALS RECEIVED OR STILL REQUIRED

The City Attorney has reviewed this calendar item.

RECOMMENDATION

Staff recommends that the SFMTA Board authorize the SFMTA, through its Director of Transportation or his designee, to submit project applications to the MTC for the Transit Capital Priority process to program capital funding for Fiscal Years 2012-13 to 2013-14 for the federal formula funding programs and for Surface Transportation Program funds.

SAN FRANCISCO
MUNICIPAL TRANSPORTATION AGENCY
BOARD OF DIRECTORS

RESOLUTION No. _____

WHEREAS, Moving Ahead for Progress in the 21st Century (MAP-21, Public Law Public Law 112-141) continues and establishes new Federal Transit Administration formula programs (23 U.S.C. §53) and continues the Surface Transportation Program (23 U.S.C. § 133); and

WHEREAS, Pursuant to MAP-21, and the regulations promulgated there under, eligible project sponsors wishing to receive Federal Transit Administration (FTA) Section 5307, Section 5309 Fixed Guideway, Section 5337 State of Good Repair, or Section 5339 Bus and Bus Facilities (collectively, FTA Formula Program) grants or Surface Transportation Program (STP) grants for a project shall submit an application first with the appropriate metropolitan transportation planning organization (MPO), for review and inclusion in the MPO's Transportation Improvement Program (TIP); and

WHEREAS, The Metropolitan Transportation Commission (MTC) is the MPO for the San Francisco Bay region; and

WHEREAS, The San Francisco Municipal Transportation Agency (SFMTA) is an eligible project sponsor for FTA Formula Program and STP funds; and

WHEREAS, The SFMTA wishes to submit a grant application to MTC for funds from the FY2012-13 to FY2013-14 FTA Formula Program and STP funds, for the following Projects:

- Light Rail Vehicle Rehabilitation Project
- Free Muni For Youth Program
- Buy Replacement 40-ft NABI Bus
- Buy Replacement Paratransit Vans
- 40' Neoplan Bus Replacement
- 60' Neoplan Bus Replacement
- 60' New Flyer Trolley Bus Replacement
- Muni Rail Replacement
- ITS Radio System Replacement
- Cable Car Renovation Program
- ADA Paratransit Operating Support

WHEREAS, The MTC requires, as part of the application, a resolution stating the following:

- 1) the commitment of necessary local matching funds of at least of 20% for FTA Formula Program funds, and 11.47% for STP funds; and
- 2) that the sponsor understands that the FTA Formula Program and STP funding is fixed at the programmed amount, and therefore any cost increase cannot be expected to be funded from FTA Formula Program or STP funds; and
- 3) the assurance of the sponsor to complete the project as described in the application, and if approved, as programmed in the MTC's TIP; and
- 4) that the sponsor understands that FTA Formula Program funds must be obligated within three years of programming and STP funds must be obligated by September 30 of the year that the project is programmed for in the TIP, or the project may be removed from the program; now, therefore, be it

RESOLVED, That the San Francisco Municipal Transportation Agency Board of Directors authorizes the Director of Transportation or his designee to execute and file applications for funding under the FTA Formula Programs and/or Surface Transportation Program in the amount of \$187,764,661 for the following Projects:

- Light Rail Vehicle Rehabilitation Project
- Free Muni For Youth Program
- Buy Replacement 40-ft NABI Bus
- Buy Replacement Paratransit Vans
- 40' Neoplan Bus Replacement
- 60' Neoplan Bus Replacement
- 60' New Flyer Trolley Bus Replacement
- Muni Rail Replacement
- ITS Radio System Replacement
- Cable Car Renovation Program
- ADA Paratransit Operating Support.

BE IT FURTHER RESOLVED, That the Municipal Transportation Agency Board of Directors by adopting this resolution does hereby state that:

- 1) the SFMTA will provide \$41,692,256 in local matching funds; and
- 2) the SFMTA understands that the FTA Formula Program and STP funding for the project is fixed at \$187,764,661, and that any cost increases must be funded by the SFMTA from local matching funds, and the SFMTA does not expect any cost increases to be funded with FTA Formula Program and Surface Transportation Program funds; and

- 3) Subject to completion of any required environmental review, the Projects will be built as described in this resolution and, if approved, for the amount shown in the MTC TIP with obligation occurring within the timeframe established below; and
- 4) The program funds are expected to be obligated by September 30 of the year the project is programmed for in the TIP; and, be it

FURTHER RESOLVED, That the SFMTA agrees to comply with the requirements of MTC's Transit Coordination Implementation Plan as set forth in MTC Resolution 3866; and be it

FURTHER RESOLVED, That a copy of this resolution will be transmitted to the MTC prior to MTC programming the FTA Formula Program or Surface Transportation Program funded projects in the TIP; and be it

FURTHER RESOLVED, That the MTC is requested to support the application for the Projects described in the resolution and to program the Projects, if approved, in MTC's TIP.

I certify that the foregoing resolution was adopted by the San Francisco Municipal Transportation Agency Board of Directors at its meeting of March 5, 2013.

Secretary, Board of Directors
San Francisco Municipal Transportation Agency