

THIS PRINT COVERS CALENDAR ITEM NO. : 10.4

**SAN FRANCISCO
MUNICIPAL TRANSPORTATION AGENCY**

DIVISION: Finance and Information Technology

BRIEF DESCRIPTION:

Authorizing the San Francisco Municipal Transportation Agency (SFMTA), through its Director of Transportation or his designee, to accept and expend \$2.38 million of Surface Transportation Program (STP) grant funds for various planning projects through the Metropolitan Transportation Commission (MTC), and adopting a Resolution of Local Support for the County Priority Development Area and Implementation Program.

SUMMARY:

- MTC approved \$20 million in STP funds for its Local Priority Development Area (PDA) program for Fiscal Years 2012-13 through 2015-16 to be distributed across the eight non-San Francisco Congestion Management Agencies with \$2.38 million for the San Francisco Planning Department.
- The San Francisco Planning Department is not an eligible recipient of the PDA funds because it does not have a Master Agreement with Caltrans. The SFMTA has a Master Agreement with Caltrans and will be the fiscal agent for the PDA funds.
- The SFMTA expects to receive \$100,000 to administer the grant.
- The purpose of PDA grant funds is to support local jurisdictions in their planning and implementation of the PDA Investment and Growth Strategy in consultation with the Association of Bay Area Governments (ABAG) and MTC.
- A number of the proposed PDA grant projects could continue or enhance SFMTA-sponsored planning efforts.
- While the list of planning efforts is still being finalized, City Planning wants to proceed as soon as practicable with planning related to the Caltrain yard. The Director of Transportation will report back to the Board when the list is finalized.
- MTC requires that the grantee adopt a Resolution of Local Support that stipulates how the SFMTA will comply with MTC's policies governing project delivery.

ENCLOSURES:

1. SFMTAB Resolution

APPROVALS:

DATE

DIRECTOR _____

May 28, 2013

SECRETARY _____

May 28, 2013

ASSIGNED SFMTAB CALENDAR DATE: June 4, 2013

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PURPOSE

Authorizing the SFMTA, through its Director of Transportation or his designee, to accept and expend \$2.38 million in FY 2013-2016 federal Surface Transportation Program (STP) funding for various planning projects from the MTC, and adopting a Resolution of Local Support for the County Priority Development Area and Implementation Program. The resolution stipulates how the SFMTA will comply with MTC's policies governing project delivery.

GOAL

This action supports the following SFMTA Strategic Plan Goal and Objective:

Goal 3 Improve the environment and quality of life in San Francisco
Objective 3.3: Allocate capital resources effectively

DESCRIPTION

In May 2012, MTC approved \$20 million in federal Surface Transportation Program (STP) funds for its Local PDA program for Fiscal Years 2012-13 through 2015-16 to be distributed through eight Bay Area Congestion Management Agencies, with \$2.38 million designated for the San Francisco Planning Department. The Planning Department is not an eligible recipient of the PDA funds because it does not have a Master Agreement with Caltrans. Because the SFMTA has a Master Agreement with Caltrans, the SFMTA will be the fiscal agent for the PDA funds. The purpose of PDA grant funds is to support local jurisdictions in their planning and implementation of PDA related goals as developed by the Association of Bay Area Governments (ABAG) and MTC.

The grant funds will support planning in, or support of PDAs, such as providing housing, jobs, intensified land use, promoting alternative modes of travel to the single occupancy vehicle, and parking management. These planning studies will place a special focus on selected PDAs with a greater potential for residential displacement in order to develop and implement community risk reduction plans. There will likely be four to six planning projects funded with San Francisco's PDA money.

In terms of planning project selection, the list has not yet been finalized. At a meeting attended by the Director of Transportation (DOT), as well as staff from the Port, the Mayor's Office, City Planning, and the Transportation Authority, it was agreed to evaluate a number of projects based on MTC PDA criteria as well as additional criteria such as project readiness and closing funding gaps for Environmental Impact Reports (EIRs). In no prioritized order, the draft list below includes a number of projects of interest to the SFMTA, as they enhance current SFMTA planning efforts. The list is subject to change between now and when the funds are awarded to the SFMTA later this year. However, it is likely that the first project, the Caltrain Downtown Extension/Railyard Boulevard Study, has the support needed to move ahead of the other planning efforts. In order to secure funds as quickly as possible for that work, SFMTA staff is submitting this item for the Board's consideration now. The DOT will report to the Board when the list is finalized, and that list will be submitted to the MTC as the final list.

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The draft list of planning projects is as follows:

- Caltrain Downtown Extension / Railyard Boulevard Study
- Planning for transportation projects to support new land uses on Port lands
- Ocean Avenue Streetscape Design near Balboa Park
- Second Street EIR
- Better Market Street EIR
- Bayshore Station Realignment Study
- Work related to HOPE SF, including transportation studies
- 19th Avenue EIR

As the Fiscal Agent, the SFMTA expects to collect \$100,000 to administer the grant-funded program consistent with MTC's allowing for up to five percent of the grant funds to be spent on administration.

The MTC requires that the SFMTA Board approve a Resolution of Local Support for the Project. The Board is asked to stipulate in the Resolution that:

1. The SFMTA and the San Francisco Planning Department will provide the 11.47% local match of \$308,354 in non-federal funds.
2. That any cost increases for work will be covered by the SFMTA as the fiscal agent, and the Department of City Planning as a subrecipient, and that SFMTA does not expect any cost increases to be funded with additional STP funding; and
3. SFMTA understands the funding deadlines associated with these funds and will comply with the provisions and requirements of the Regional Project Funding Delivery Policy (MTC Resolution No. 3606, as revised); and
4. The Project will be completed as described in this resolution and for the amount programmed in the MTC federal Transportation Improvement Program (TIP).

ALTERNATIVES CONSIDERED

If the SFMTA were not to pursue the PDA funds, the SFMTA and the San Francisco Planning Department would either have to find alternative funds or not pursue the planning activities associated with the grant funds.

FUNDING IMPACT

The allocation of \$2.38 million requires a non-federal match of at least 11.47% of the total project cost. The match will be provided by Proposition K funds sales tax proceeds and other to-be-determined non-federal funding sources.

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OTHER APPROVALS RECEIVED OR STILL REQUIRED

The MTC also requires that the San Francisco Board of Supervisors (BOS) authorize the SFMTA to act as the fiscal agent for the PDA funds going to the San Francisco Planning Department. This resolution was approved on April 23, 2013.

The City Attorney has reviewed this report.

RECOMMENDATION

SFMTA staff requests that the SFMTA Board approve a Resolution of Local Support in order for the MTC to program \$2.38 million of federal STP funds to the San Francisco's County Priority Development Area and Implementation Program.

SAN FRANCISCO
MUNICIPAL TRANSPORTATION AGENCY
BOARD OF DIRECTORS

RESOLUTION No. _____

WHEREAS, The San Francisco Municipal Transportation Agency (SFMTA) is submitting an application to the Metropolitan Transportation Commission (MTC) for \$2,380,000 in funding assigned to MTC for programming discretion, including but not limited to federal funding administered by the Federal Highway Administration (FHWA), such as Surface Transportation Program (STP) funding, Congestion Mitigation and Air Quality Improvement (CMAQ) funding and/or Transportation Alternatives (TA) funding (collectively referred to as Regional Discretionary Funding) for the County Priority Development Area Planning and Implementation Program and

WHEREAS, The Moving Ahead for Progress in the 21st Century Act (Public Law 112-141, July 6, 2012) and any extensions or successor legislation for continued funding (collectively, MAP-21) authorize various federal funding programs including, but not limited to the Surface Transportation Program (STP) (23 U.S.C. § 133), the Congestion Mitigation and Air Quality Improvement Program (CMAQ) (23 U.S.C. § 149) and the Transportation Alternatives Program (TA) (23 U.S.C. § 213); and

WHEREAS, State statutes, including California Streets and Highways Code 182.6 and 182.7 provide various funding programs for the programming discretion of the Metropolitan Planning Organization (MPO) and the Regional Transportation Planning Agency (RTPA); and

WHEREAS, Pursuant to MAP-21, and any regulations promulgated thereunder, eligible project sponsors wishing to receive federal funds for a project shall submit an application first with the appropriate MPO for review and inclusion in the MPO's Transportation Improvement Program (TIP); and

WHEREAS, MTC is the MPO and RTPA for the nine counties of the San Francisco Bay region; and

WHEREAS, MTC has adopted a Regional Project Funding Delivery Policy (MTC Resolution No. 3606, revised) that sets out procedures governing the application and use of federal funds; and

WHEREAS, The MTC has designated \$20,000,000 for Regional PDA Planning to be distributed to the county Congestion Management Agencies with \$2,380,000 allocated to the San Francisco Planning Department; and

WHEREAS, The PDA planning funds will support planning in, or support of PDAs, such as providing housing, jobs, intensified land use, promoting alternative modes of travel to the single occupancy vehicle, and parking management; and

WHEREAS, The San Francisco Planning Department is not an eligible sponsor for Regional Discretionary Funding; and

WHEREAS, The SFMTA, as an eligible sponsor for Regional Discretionary Funding, will be the Fiscal Agent for those PDA funds allocated to the San Francisco Planning Department; and

WHEREAS, The overall PDA planning work to be performed will be submitted to MTC as one Planning Project (Project); and

WHEREAS, The SFMTA, as the Project's fiscal agent, will administer portions of the grant on behalf of the Planning Department and expects to receive \$100,000 for grant administration; and

WHEREAS, As part of the application for Regional Discretionary Funding, MTC requires a resolution adopted by the responsible implementing agency stating the following: the commitment of any required matching funds; and that the sponsor understands that the Regional Discretionary Funding is fixed at the programmed amount, and therefore any cost increase cannot be expected to be funded with additional Regional Discretionary Funding; and that the project will comply with the procedures, delivery milestones and funding deadlines specified in the Regional Project Funding Delivery Policy (MTC Resolution No. 3606, revised); and the assurance of the sponsor to complete the project as described in the application, and if approved, as included in MTC's federal Transportation Improvement Program (TIP); and that the project will comply with all project-specific requirements as set forth in the Program; and that the project (transit only) will comply with MTC Resolution No. 3866, revised, which sets forth the requirements of MTC's Transit Coordination Implementation Plan to more efficiently deliver transit projects in the region; now, therefore, be it,

RESOLVED, The SFMTA Board of Directors authorizes the Director of Transportation to accept and, through the Department of City Planning, expend up to \$2.38 million in Regional Discretionary Funding from the Metropolitan Transportation Commission for various planning projects; and, be it further,

RESOLVED, That the SFMTA will submit to MTC a list of planning projects to be funded under the Program; and be it further,

RESOLVED, That the SFMTA, by adopting this resolution, states that: The SFMTA will provide any required matching funds; and the SFMTA understands that the Regional Discretionary Funding for the project is fixed at the MTC approved programmed amount, and that any cost increases must be funded by the SFMTA and the Planning Department from other funds, and that the SFMTA does not expect any cost increases to be funded with additional Regional Discretionary Funding; and the SFMTA understands the funding deadlines associated with these funds and will comply with the provisions and requirements of the Regional Project Funding Delivery Policy (MTC Resolution No. 3606, revised), and the SFMTA has, and will retain the expertise, knowledge and resources necessary to deliver federally-funded transportation projects, and has assigned, and will maintain a single point of contact for all FHWA-funded transportation projects to coordinate within the agency and with the respective Congestion Management Agency (CMA), MTC, Caltrans and FHWA on all communications, inquiries or issues that may arise during the federal programming and delivery process for all FHWA-funded transportation projects implemented by the SFMTA; and the Project will be completed as described in the completed application and in this resolution and, if approved, for the amount approved by MTC and

programmed in the federal TIP; and the SFMTA and the Project will comply with the requirements as set forth in MTC programming guidelines and project selection procedures for the Program; and the SFMTA (for a transit project only) agrees to comply with the requirements of MTC's Transit Coordination Implementation Plan as set forth in MTC Resolution 3866, revised; and be it further,

RESOLVED, That a copy of this resolution will be transmitted to the MTC in conjunction with the filing of the application; and be it further,

RESOLVED, That the MTC is requested to support the application for \$2,380,000 and to include the Project, if approved, in MTC's federal TIP;

I certify that the foregoing resolution was adopted by the San Francisco Municipal Transportation Agency Board of Directors at its meeting of June 4, 2013.

Secretary to the Board of Directors
San Francisco Municipal Transportation Agency